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Customer No.: 07278

Docket No.: 02650/100F918US1

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Kendall A. SMITH

Serial No.:

09/708,635

Confirmation No.: 2206

Filed:

September 22, 2000

Group Art Unit: 1648

For:

LOW DOSE IL-2 FOR POTENTIATION OF IMMUNITY

## INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents PO Box 1450 Alexandria, VA 22313-1450

Sir:

In order to comply with 37 CFR 1.56(a), attached hereto is a copy of Form PTO-1449 and copies of the documents listed thereon.

In accordance with MPEP Sections 609 and 707.05(b), it is requested that each document cited (including any cited in applicant's specification which is not repeated on the attached Form PTO-1449) be given thorough consideration and that it be cited of record in the prosecution history of the present application

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by initialing Form PTO-1449 next to the document. Such initialing is requested even if the Examiner does not consider a cited document to be sufficiently pertinent to use in a rejection, or otherwise does not consider it to be prior art for any reason, or even if the Examiner does not believe that the guidelines for citation have been fully complied with. This is requested so that each document becomes listed on the face of the patent issuing on the present application.

Since some of the documents were known to the applicant for more than three months before the filing of this Information Disclosure Statement, the statutory fee of \$180.00 under 37 C.F.R. 1.17(p) is enclosed. The Commissioner is authorized to charge any additional fees required in connection with this Petition or to credit any overpayment to Deposit Account No. 04-0100.

The present Information Disclosure Statement is being submitted in compliance with 37 CFR 1.56, but the citation of such document is not to be construed as an admission that such document is necessarily relevant or prior art. No representation is intended that the cited documents represent the results of a complete search, and it is anticipated that the Examiner, in the normal course of examination, will make an independent search and will determine the best prior art consistent with 37 CFR 1.104(a) and in the course of each search, will review for relevance every document cited on the attached form even if not initialed.

Early and favorable consideration is earnestly solicited.

Respectfully submitted,

Dated: October 2, 2003

Jason C. Chumney. Registration No. 54,781 Agent for Applicant(s)

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Substitute for form 1449A/B/PTO		Complete if Known			
				Application Number	09/708,635
INFORMATION DISCLOSURE			SCLOSURE	Filing Date	September 22, 2000
l s	STATEMENT BY APPLICANT (Use as many sheets as necessary)			First Named Inventor	Kendall A. Smith
				Art Unit	1648
				Examiner Name	J. Parkin
Sheet	1	of	1	Attorney Docket Number	02650/100F918-US1

	U.S. PATENT DOCUMENTS					
Examiner Cite Initials* No.	Cito	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
		Number-Kind Code <sup>2</sup> ( if known)				
	AA	6,509,313 B1	01/21/03	Smith	Entire Document	
	AB	4,933,433	06/12/90	Tamblyn	Entire Document	
	AC	4,938,956	07/03/90	Howard	Entire Document	
	AD	5,420,109	05/30/95	Suto	Entire Document	
	AE	4,940,456	07/10/90	Sibalis	Entire Document	
	AF	5,126,129	06/30/92	Wiltrout	Entire Document	

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No.1	Foreign Patent Document  Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (# known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T٩
-	ВА	W0 97/41831	11/13/97	Cornell Research Foundation	Entire Document	

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		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²
	CA	CALIGIURI, Selective Modulation of Human Natural Killer Cells In Vivo After Prolonged Infusion of Low Dose Recombinant Interleukin 2, Journal of Clinical Investigation, 1993, p. 123-132	
	СВ	TEPPLER, Efficacy of Low Doses of the Polyethylene Glycol Derivative of Interleukin-2 in Modulating the Immune Response of Patients with Human Immunodeficiency Virus Type 1 Infection, Journal of Infectious Diseases, 1993; 167:291-298	
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	CD	YAMAMOTO, Hepatic Arterial Infusion of Interleukin-2 in Advanced Hepatocellular Carcinoma, Acta Oncologica, 1993, 32; 1:43-51	
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	CG	BERNSTEIN, Prolonged Administration of Low-Dose Interleukin-2 in Human Immunodeficiency Virus-Associated Malignancy Results in Selective Expansion of Innate Immune Effectors Without Significant Clinical Toxicity, Blood, 1995, 86; 9:3287-3294	

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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